

PTO-1449 (Modified)

ATTY. DOCKET NO.  
003797.80028SERIAL NUMBER  
09/556,865

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEAPPLICANT  
Darryl Rubin et al.

OCT 25 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANTFILING DATE  
04/21/00GROUP ART UNIT  
2776

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER    | DATE       | NAME            | CLASS | SUB<br>CLASS | FILING<br>DATE |
|---------------------|-----------------------|------------|-----------------|-------|--------------|----------------|
| <i>MS</i>           | 5,146,552             | 09/08/1992 | Cassorla et al. |       |              |                |
| <i>MS</i>           | 5,802,516             | 09/01/1998 | Shwartz et al.  |       |              |                |
| <i>MS</i>           | 5,559,942             | 09/24/1996 | Gough et al.    |       |              |                |
| <i>MS</i>           | 5,956,034             | 09/21/1999 | Sachs, et al.   |       |              |                |
| <i>MS</i>           | US 2002-0099552<br>A1 | 01/25/2001 | Rubin et al.    |       |              |                |

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| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES/NO |
|---------------------|--------------------|---------|---------|-------|--------------|-----------------------|
| <i>MS</i>           | WO 97 22109 A      | 6/19/97 | PCT     |       |              |                       |
| <i>MS</i>           | WO 87/01481        | 3/12/87 | PCT     |       |              |                       |
| <i>MS</i>           | WO 98/09446        | 3/05/98 | PCT     |       |              |                       |
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| <i>MS</i> | SCHILIT and PRICE: "Digital Library Information Appliances", ACM Conference on Digital Libraries, June 23, 1992, pages 217-226   |
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| <i>MS</i> | SOFTBOOK® Press The Leader in Internet-Enabled Document Distribution and Reading Systems;<br><a href="http://www.softbook.com/consumer/reader.asp">http://www.softbook.com/consumer/reader.asp</a> |
| <i>MS</i> | Rocket eBook Using the Rocket eBook; <a href="http://www.rocketbook.com/Products/Faq/using.html">http://www.rocketbook.com/Products/Faq/using.html</a>   |
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| <i>MS</i> | CRESPO, CHANG, BIER: Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", 1997, pages 1508-1517     |

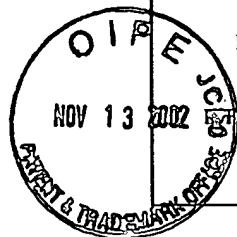
EXAMINER

*Matthew Lindsey*

DATE CONSIDERED

*12/10/03*

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.



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| PTO-1449 (Modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT | ATTY. DOCKET NO.<br>003797.80028 | SERIAL NUMBER<br>09/556,865 |
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|---------------------|-----------------------|------------|-----------------|-------|--------------|----------------|
| MT                  | 5,146,552             | 09/08/1992 | Cassorla et al. |       |              |                |
| MT                  | 5,802,516             | 09/01/1998 | Shwarts et al.  |       |              |                |
| MT                  | 5,559,942             | 09/24/1996 | Gough et al.    |       |              |                |
| MT                  | 5,956,034             | 09/21/1999 | Sachs, et al.   |       |              |                |
| MT                  | US 2002-0099552<br>A1 | 01/25/2001 | Rubin et al.    |       |              |                |

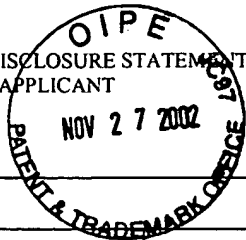
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|---------------------|--------------------|---------|---------|-------|--------------|-----------------------|--|
| MT                  | WO 97 22109 A      | 6/19/97 | PCT     |       |              |                       |  |
| MT                  | WO 87/01481        | 3/12/87 | PCT     |       |              |                       |  |
| MT                  | WO 98/09446        | 3/05/98 | PCT     |       |              |                       |  |
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|---|--|-------------------------|
| MT  | SCHILIT and PRICE: "Digital Library Information Appliances", ACM Conference on Digital Libraries, June 23, 1992, pages 217-226   |                         |
| MT  | GRAEFE, et al: "Designing the muse: A Digital Music Stand for the Symphony Musician", Proc. of Conf on Human Factors In Computing Systems, April 13-18, 1996, pages 436-441                        |                         |
| MT  | SOFTBOOK® Press The Leader in Internet-Enabled Document Distribution and Reading Systems;<br><a href="http://www.softbook.com/consumer/reader.asp">http://www.softbook.com/consumer/reader.asp</a> |                         |
| MT  | Rocket eBook Using the Rocket eBook; <a href="http://www.rocketbook.com/Products/Faq/using.html">http://www.rocketbook.com/Products/Faq/using.html</a>   |                         |
| MT  | Explore the Features of the Rocket eBook; <a href="http://www.rocketbook.com/Products/Tour/index.html">http://www.rocketbook.com/Products/Tour/index.html</a>                                      |                         |
| MT  | CRESPO, CHANG, BIER: Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", 1997, pages 1508-1517     |                         |
| EXAMINER  | Matthew Lindsey  | DATE CONSIDERED 12/6/03 |
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| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER   | DATE                | NAME                       | CLASS | SUB<br>CLASS | FILING<br>DATE |
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| <i>MS</i>           | <del>6,397,264</del> | <del>05/28/02</del> | <del>Stasnick et al.</del> |       |              |                |
| <i>MS</i>           | <del>6,243,091</del> | <del>06/05/01</del> | <del>Boretis</del>         |       |              |                |
| <i>MS</i>           | 5,854,630            | 12/29/98            | Nielsen                    |       |              |                |
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| <i>MS</i>           | <del>6,072,400</del> | <del>06/06/00</del> | <del>Dates et al.</del>    |       |              |                |

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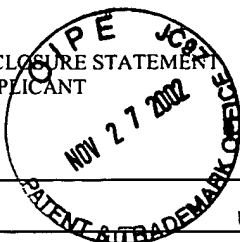
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| EXAMINER<br><i>Matthew Luby</i>   | DATE CONSIDERED<br><i>12/10/03</i> |
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|   | APPLICANT<br>Darryl Rubin et al. |                             |
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| MT                  | <del>6,105,044</del> | <del>08/15/00</del> | <del>DeRosa et al.</del>  |       |              |                |
| MT                  | 5,860,074            | 01/12/99            | Rowe et al.               |       |              |                |
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| MT                  | 6,037,934            | 03/14/00            | Himmel et al.             |       |              |                |

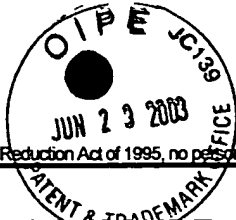
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 4

Complete if Known

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|------------------------|---------------------|
| Application Number     | 09/556,865          |
| Filing Date            | 04/21/2000          |
| First Named Inventor   | Darryl Rubin et al. |
| Group Art Unit         | 2776                |
| Examiner Name          | TBD                 |
| Attorney Docket Number | 003797.80028        |

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Technology Center 2100**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
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| MT                     |                          | US- 5,239,466                              | 08/24/1993                     | Morgan et al.                                      |   |
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|------------------------|--------------------------|---|--------------------------------|--|--|----------------|
|                        |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |  |  |                |
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Matthew Juday

Date  
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|------------------------|---------------------|
| Application Number     | 09/556,865          |
| Filing Date            | 04/21/2000          |
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| Group Art Unit         | 2776                |
| Examiner Name          | TBD                 |
| Attorney Docket Number | 003797.80028        |

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|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
| MF                     |                          | US 5,845,262                               | 12/01/1998                     | Nozue et al.                                       |   |
| MD                     |                          | US 6,011,537                               | 01/04/2000                     | Slotznick  |   |
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| MD                     |                          | US 5,937,416                               | 08/10/1999                     | Menzel   |   |
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| MD                     |                          | US 6,279,005 B1                            | 08/21/2001                     | Zellweger  |   |
| MD                     |                          | US 5,893,126                               | 04/06/1999                     | Drews et al.                                       |   |

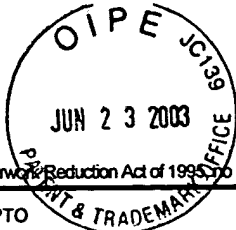
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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 4 of 4

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| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|------------------------|--------------------------|---|----------------|
| MF                     |                          | "Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110   |                |
| MF                     |                          | KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999  |                |
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| MF                     |                          | KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)  |                |
| MF                     |                          | Dublin Core Resource Types: Structurality DRAFT: July 24, 1997,<br>February 27, 1998  |                |
| MF                     |                          | Dublin Core Metadata Element Set, Version 1.1: Reference Description<br>1999  |                |
| MF                     |                          | Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31<br>1999  |                |
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Examiner  
Signature*Matthew Ledy*Date  
Considered*12/10/03*

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<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

### Title of Invention

Methods and Apparatus for Displaying Multiple Contexts in  
Electronic Documents

Application Number: 09/556865  
Confirmation Number: 6686  
First Named Applicant: Darryl Rubin  
Attorney Docket Number: 003797.80028  
Art Unit: 2178  
Examiner: Heather R Herndon  
Search string: ( 5434929 or 5666113 ).pn.

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee        | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
|      | 1        | 5434929    | 1994-07-12 | Beernink et al. |      |       |          |
|      | 2        | 5666113    | 1995-09-05 | Logan           |      |       |          |

### Remarks

Not : Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO



1449 form. The undersigned certifies under 37 C.F.R. 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement. The references were first cited in related application Serial No. 09/455,808 in an Office Action mailed July 1, 2003. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. Applicants believe that no fee is necessary pursuant to 37 C.F.R. 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

| Examiner Name          | Date           |
|------------------------|----------------|
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Sheet 1 of 2

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|                        |                        |
|------------------------|------------------------|
| Application Number     | 09/556,865             |
| Filing Date            | 04/21/2000             |
| First Named Inventor   | Darryl E. Rubin et al. |
| Art Unit               | 2178                   |
| Examiner Name          | Heather R. Herndon     |
| Attorney Docket Number | 003797.80028           |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
| MT                     |                          | US- 6,571,295 B1                           | 05-27-2003                     | Sidana   |   |
| MT                     |                          | US- 6,279,014 B1                           | 08-21-2001                     | Schilit et al.                                     |   |
| MT                     |                          | US- 5,680,636                              | 10-21-1997                     | Levine et al.                                      |   |
| MT                     |                          | US- 5,625,833                              | 04-29-1997                     | Levine et al.                                      |   |
|                        |                          | US-  |                                |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
|                        |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
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Examiner  
Signature

Matthew Ludwig

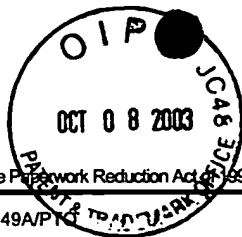
Date  
Considered

12/10/03

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. B x 1450, Alexandria, VA 22313-1450.

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PTO/SB/08b(05-03)

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Sheet 2

of 2

**Complete if Known**

|                        |                        |
|------------------------|------------------------|
| Application Number     | 09/556,865             |
| Filing Date            | 04/21/2000             |
| First Named Inventor   | Darryl E. Rubin et al. |
| Group Art Unit         | 2178                   |
| Examiner Name          | Heather R. Herndon     |
| Attorney Docket Number | 003797.80028           |

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|------------------------|--------------------------|---|----------------|
| ME                     |                          | Hirotsu et al. "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359.   |                |
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| Examiner<br>Signature | Matthew Ludwig | Date<br>Considered | 12/10/03 |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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